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BY EXPRESS MAIL ON  
July 20, 2001  
(EK 825 216 716 US)

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Box PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

### RECORD OF TRANSMITTAL

INVENTORS: (1) Takashi YAMANAKA  
(2) Masao KASUGA  
(3) Akihiro IINO  
(4) Kenji SUZUKI  
(5) Tomohiro SHIMADA

TITLE: OPTICAL COMMUNICATION DEVICE AND ITS CONTROL METHOD

DOCKET NO: S004-4348

S I R:

Kindly find enclosed herewith for filing the documents checked below. Any fees or charges not covered by the accompanying check should be charged to our Deposit Account No. 01-0268.

### APPLICABLE ITEMS CHECKED:

PATENT APPLICATION (pages 1-22 of specification, 17 claims (pages) 20-22 and abstract of the disclosure (page 23))

DESIGN APPLICATION (specification, claim, declaration and power of attorney and photograph(s))

FORMAL DRAWINGS (9 sheets) (NI A4)

INFORMAL DRAWINGS (   sheets)

RECORDATION FORM COVER SHEET and ASSIGNMENT  
(to be recorded and returned to the undersigned)

XX PRIORITY CLAIM - applicant(s) claim the benefit of priority of the following application(s):

Japanese Patent Appln. No. 2000-227380  
filed July 27, 2000

Japanese Patent Appln. No.  
filed

Japanese Patent Appln. No.  
filed

Japanese Patent Appln. No.  
filed

XX PRIORITY DOCUMENT(s)

       Enclosed      XX Not Enclosed

       VERIFIED STATEMENT TO ESTABLISH SMALL ENTITY STATUS

XX EXPRESS MAIL CERTIFICATION

       OTHER -

XX FEE

A check is enclosed for the following official fees:

	Large Entity	Small Entity
<u>XX</u> Basic Filing Fee	\$ 710.00	\$
<u>0</u> Independent Claims in Excess of 3	\$	\$
<u>0</u> Total Claims in Excess of 20	\$	\$
<u>      </u> Multiple Claim Fee	\$	\$
<u>      </u> Assignment Recordal Fee	\$	\$
TOTAL	\$ 710.00	\$

Respectfully submitted,

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By:

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07.23 A



07/20/01

Re: New patent application of Takashi YAMANAKA et al.  
for OPTICAL COMMUNICATION DEVICE AND ITS CONTROL METHOD

comprising transmittal letter, title, specification (pgs.1-22),  
17 claims (pgs.20-22), abstract of the disclosure (pg.23), 9  
sheets of formal drawings containing thereon Figs. 1-9b, express  
mail certification and check in the amount \$710.00

Attorney's Docket No: S004-4348

**EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10**

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A handwritten signature in black ink, appearing to read "Michael Ruas", is written over a horizontal line. Below the signature, the name "Michael Ruas" is printed in a smaller, standard font.